

Declaration and Power of Attorney For Patent Application

特許出願宣言書及び委任状

Japanese Language Declaration

日本語宣言書

下記の氏名の発明者として、私は以下の通り宣言します。

私の住所、私書箱、国籍は下記の私の氏名の後に記載された通りです。

下記の名称の発明に関して請求範囲に記載され、特許出願している発明内容について、私が最初かつ唯一の発明者（下記の氏名が一つの場合）もしくは最初かつ共同発明者（下記の名称が複数の場合）であると信じています。

上記発明の明細書は、

☐ 本書に添付されています。

☐ ____月____日に提出され、米国出願番号または特許協定条約国際出願番号を____とし、
(該当する場合) ____に訂正されました。

私は、特許請求範囲を含む上記訂正後の明細書を検討し、内容を理解していることをここに表明します。

私は、連邦規則法典第37編第1条56項に定義されるとおり、特許資格の有無について重要な情報を開示する義務があることを認めます。

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled.

Aqueous Dispersion, Aqueous Dispersion for
Chemical Mechanical Polishing Used for
Manufacture of Semiconductor Devices, Method
for Manufacture of Semiconductor Devices,
and Method for Formation of Embedded Wiring

the specification of which

☐ is attached hereto.

☐ was filed on _____
as United States Application Number or
PCT International Application Number
_____ and was amended on
_____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

Japanese Language Declaration (日本語宣言書)

私は、米国法典第 35 編 119 条 (a) - (d) 項又は 365 条 (b) 項に基づき下記の、米国以外の国の少なくとも一カ国を指定している特許協力条約 365 (a) 項に基づく国際出願、又は外国での特許出願もしくは発明者証の出願についての外国優先権をここに主張するとともに、優先権を主張している、本出願の前に出願された特許または発明者証の外国出願を以下に、枠内をマークすることで、示しています。

Prior Foreign Application(s) 外国での先行出願

| | |
|-----------|-----------|
| 11-074052 | Japan |
| (Number) | (Country) |
| (番号) | (国名) |
| 11-074561 | Japan |
| (Country) | (Country) |
| (番号) | (国名) |
| 11-074562 | Japan |
| (Country) | (Country) |
| (番号) | (国名) |

私は、第 35 編米国法典 119 条 (e) 項に基づいて下記の米国特許出願規定に記載された権利をここに主張いたします。

| | |
|-------------------|---------------|
| (Application No.) | (Filing Date) |
| (出願番号) | (出願日) |

私は、下記の米国法典第 35 編 120 条に基づいて下記の米国特許出願に記載された権利、又は米国を指定している特許協力条約 365 条 (c) に基づく権利をここに主張します。また、本出願の各請求範囲の内容が米国法典第 35 編 112 条第 1 項又は特許協力条約で規定された方法で先行する米国特許出願に開示されていない限り、その先行米国出願書提出日以降で本出願書の日本国内または特許協力条約国際提出日までの期間中に入手された、連邦規則法典第 37 編 1 条 56 項で定義された特許資格の有無に関する重要な情報について開示義務があることを認識しています。

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|-------------------|---------------|
| (Application No.) | (Filing Date) |
| (出願番号) | (出願日) |

私は、私自身の知識に基づいて本宣言書中で私が行う表明が真実であり、かつ私の入手した情報と私の信じることに基づく表明が全て真実であると信じていること、さらに故意になされた虚偽の表明及びそれと同等の行為は米国法典第 18 編第 1001 条に基づき、罰金又は拘禁、もしくはその両方により処罰されること、そしてそのような故意による虚偽の声明を行えば、出願した、又は既に許可された特許の有効性が失われることを認識し、よってここに上記のごとく宣誓を致します。

I hereby claim foreign priority under Title 35, United States Code, Section 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or Section 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which

Priority Claimed 優先権主張

| | | |
|------------------------|-------------------------------------|--------------------------|
| 18/March/1999 | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| (Day/Month/Year Filed) | Yes | No |
| (出願年月日) | はい | いいえ |
| 18/March/1999 | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| (Day/Month/Year Filed) | Yes | No |
| (出願年月日) | はい | いいえ |
| 18/March/1999 | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| (Day/Month/Year Filed) | Yes | No |
| (出願年月日) | はい | いいえ |

I hereby claim the benefit under Title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below.

| | |
|-------------------|---------------|
| (Application No.) | (Filing Date) |
| (出願番号) | (出願日) |

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s), or Section 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code Section 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the

(Status: Patented, Pending, Abandoned)
(現況: 特許許可済、係属中、放棄済)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Japanese Language Declaration (日本語宣言書)

委任状：私は、下記の発明者として、本出願に関する一切の手続きを米特許商標局に対して遂行する弁理士または代理人として、下記の者を指名いたします。

(弁理士、または代理人の指名及び登録番号を明記のこと)

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith: *(list name and*

Norman F. Oblon, Reg. No. 24,518; Marvin J. Spivak, Reg. No. 24,913; C. Irvin McClelland, Reg. No. 21,124; Gregory J. Maier, Reg. No. 25,599; Arthur I. Neustadt, Reg. No. 24,854; Richard D. Kelly, Reg. No. 27,757; James D. Hamilton, Reg. No. 28,421; Eckhard H. Kuesters, Reg. No. 28,870; Robert T. Pous, Reg. No. 29,099; Charles L. Gholz, Reg. No. 28,395; William E. Beaumont, Reg. No. 30,998; Robert F. Gnuse, Reg. No. 27,295; Jean-Paul Lavelleye, Reg. No. 31,451; Stephen G. Baxter, Reg. No. 32,884; Richard L. Treanor, Reg. No. 38,379; Steven P. Weihrauch, Reg. No. 32,829; John T. Goolkasian, Reg. No. 28,142; Richard L. Chinn, Reg. No. 34,305; Steven E. Lipman, Reg. No. 30,011; Carl E. Schlier, Reg. No. 34,426; James J. Kulbaski, Reg. No. 34,648; Richard A. Nelfeld, Reg. No. 35,299; J. Derek Mason, Reg. No. 35,270; Srinnder Sachar, Reg. No. 34,423; Christine M. Gadlano, Reg. No. 37,828; Jeffrey B. McIntyre, Reg. No. 36,867; William T. Enos, Reg. No. 33,128 and Michael E. McCabe, Jr., Reg. No. 37,182, with full powers of substitution and revocation.

類送付先

Send Correspondence to:

OBLON, SPIVAK, MCCLELLAND, MAIER & NEUSTADT, P.C.
FOURTH FLOOR
1755 JEFFERSON DAVIS HIGHWAY
ARLINGTON, VIRGINIA 22202 U.S.A.

直接電話連絡先：(名前及び電話番号)

Direct Telephone Calls to: (name and telephone number)
(703)413-3000

| | | |
|---------------------|--|---|
| 単独発明者または第一の共同発明者の氏名 | Full name of sole or first joint inventor HIROYUKI YANO | |
| 発明者の署名 | 日付 | X Inventor's signature X Date <i>Hiroyuki Yano</i> 3/13/2000 |
| 住所 | Residence c/o KABUSHIKI KAISHA TOSHIBA, Yokohama Complex, 8, Shinsugita, Isogo-ku, Yokohama-shi, Kanagawa, Japan | |
| 国籍 | Citizenship Japan | |
| 郵便の宛先 | Post Office Address c/o KABUSHIKI KAISHA TOSHIBA, Yokohama Complex, 8, Shinsugita, Isogo-ku, Yokohama-shi, Kanagawa, Japan | |
| 第二の共同発明者の氏名 | Full name of second joint inventor, if any GAKU MINAMIHABA | |
| 第二の共同発明者の署名 | 日付 | X Second joint inventor's signature X Date <i>Gaku Minamihaba</i> 3/9/2000 |
| 住所 | Residence c/o KABUSHIKI KAISHA TOSHIBA, Yokohama Complex, 8, Shinsugita, Isogo-ku, Yokohama-shi, Kanagawa, Japan | |
| 国籍 | Citizenship Japan | |
| 郵便の宛先 | Post Office Address c/o KABUSHIKI KAISHA TOSHIBA, Yokohama Complex, 8, Shinsugita, Isogo-ku, Yokohama-shi, Kanagawa, Japan | |

(第三以降の共同発明者についても同様に記載し、署名すること)

(Supply similar information and signature for third and subsequent joint inventors.)

Japanese Language Declaration

(日本語宣言書)

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| 第三の共同発明者の氏名 | Full name of third joint inventor, if any YUKITERU MATSUI |
| 第三の共同発明者の署名 日付 | X Third joint inventor's signature X Date <i>Yukiteru Matsui</i> 3/7/2000 |
| 住所 | Residence c/o KABUSHIKI KAISHA TOSHIBA, Yokohama Complex, 8, Shinsugita, Isogo-ku, Yokohama-shi, Kanagawa, Japan |
| 国籍 | Citizenship Japan |
| 郵便の宛先 | Post Office Address c/o KABUSHIKI KAISHA TOSHIBA, Yokohama Complex, 8, Shinsugita, Isogo-ku, Yokohama-shi, Kanagawa, Japan |

| | |
|----------------|--|
| 第四の共同発明者の氏名 | Full name of fourth joint inventor, if any KATSUYA OKUMURA |
| 第四の共同発明者の署名 日付 | X Fourth joint inventor's signature X Date <i>Katsuya Okumura</i> 03/07/00 |
| 住所 | Residence c/o KABUSHIKI KAISHA TOSHIBA, Yokohama Complex, 8, Shinsugita, Isogo-ku, Yokohama-shi, Kanagawa, Japan |
| 国籍 | Citizenship Japan |
| 郵便の宛先 | Post Office Address c/o KABUSHIKI KAISHA TOSHIBA, Yokohama Complex, 8, Shinsugita, Isogo-ku, Yokohama-shi, Kanagawa, Japan |

| | |
|----------------|---|
| 第五の共同発明者の氏名 | Full name of fifth joint inventor, if any AKIRA IIO |
| 第五の共同発明者の署名 日付 | X Fifth joint inventor's signature X Date <i>Akira Iio</i> 03/13/00 |
| 住所 | Residence c/o JSR Corporation, 2-11-24, Tukiiji, Chuo-ku, Tokyo, Japan |
| 国籍 | Citizenship Japan |
| 郵便の宛先 | Post Office Address c/o JSR Corporation, 2-11-24, Tukiiji, Chuo-ku, Tokyo, Japan |

| | |
|----------------|---|
| 第六の共同発明者の氏名 | Full name of sixth joint inventor, if any MASAYUKI HATTORI |
| 第六の共同発明者の署名 日付 | X Sixth joint inventor's signature X Date <i>Masayuki Hattori</i> 03/13/00 |
| 住所 | Residence c/o JSR Corporation, 2-11-24, Tukiiji, Chuo-ku, Tokyo, Japan |
| 国籍 | Citizenship Japan |
| 郵便の宛先 | Post Office Address c/o JSR Corporation, 2-11-24, Tukiiji, Chuo-ku, Tokyo, Japan |

(第六以降の共同発明者についても同様に記載し、署名すること)

(Supply similar information and signature for sixth and subsequent joint inventors.)